

AO 26X1/2687 0130 45

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1941

6-3-2

In Re Application Of: **HOFMANN**

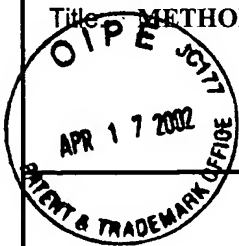
Serial No.
10/030,282

Filing Date
01/07/2002

Examiner

Group Art Unit

Title: **METHOD FOR PREPARATION OF SOURCE-CODED DATA, AND TRANSMITTER...**



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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

Technology Center 2600

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-4675 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **APRIL 9, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

MICHAEL J. STRIKER

Typed or Printed Name of Person Mailing Correspondence

***This certificate may only be used if paying by deposit account.**

Dated: **APRIL 9, 2002**

Signature

CC:

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group: Attorney Docket # 1941



Applicant(s) : HOFMANN, F., ET AL

Serial No. : 10/030,282

Filed : 01/07/2002

For : METHOD FOR PREPARATION OF SOURCE-CODED
DATA, AND TRANSMITTER AND RECEIVER
THEREFOR

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INFORMATION DISCLOSURE STATEMENT

April 9, 2002

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

S I R S:

_____ In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of a Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.

_____ In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.

 X Attached hereto is a FORM PTO 1449 listing the references.

 X Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents.

_____ Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

page 2 of 2

- ☒ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- ☐ Attached hereto is a copy of the Office Action issued in the corresponding German application, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- ☐ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the references is also attached.
- ☐ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- ☐ The relevancy of each reference can be found in the English language Abstract attached thereto

Respectfully submitted,

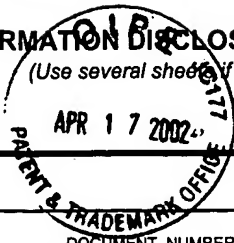


Michael J. Striker
Attorney for Applicant(s)
Reg. No. 27233

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APR 17 2002



ATTY DOCKET NO.
1941

SERIAL NO.
10/030,282

APPLICANT(S)
HOFMANN, F., ET AL

FILING DATE
01/07/2002

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,588,022	12/24/96	DAPPER ET AL			
	5,673,292	09/30/97	CARLIN			

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1 041 766 A2	10/04/2000	EP			✓	
	1 041 756 A2	10/04/2000	EP			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APR 17 2002

Docket Number (Optional)

1941

Application Number

10/030,282

Applicant(s)

HOFMANN, F., ET AL

Filing Date

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Group Art Unit

*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"DIGITAL RUNDUNK" BY HARALD KUHLE, RUNDUNK PRALIS, FUNKSCHAU HEFT 14, 1998, PP. 44-46.

"PROGRESS TOWARDS THE DEVELOPMENT OF DIGITAL MODULATION..." BY MR. DANIEL BOCHENT ET AL, CONFERENCE PAPER OF THE 51-ST BROADCAST ENGINEERING CONFERENCE, NAB 97, PP. 27-48.

"COMPATIBLE DIGITAL BROADCASTING FOR AM RADIO BANDS" BY PATICK BUREAU, TRANSMISSION ENGINEERING, MARCH 1999, IBE, PP. 53-54.

"WIRELESS DATA TRANSMISSION THROUGH IN-BAND ON-CHANNEL DIGITAL AUDIO BROADCASTING" BY A.J. VIGIL, SPIE VOL. 2601, PP. 105-113.

PATENT ABSTRACT OF FR 2757334, JUNE 19, 1998.

PATENT ABSTRACT OF WO 98/26546, JUNE 18, 1998.

"DRM-DIGITAL RADIO MONDIALE EIN WELTWEITES..." BY PETER SENDER, RUNDUNKTECH MITTEILUNGEN, JAHRG 43, 1999, H. 1, PP. 29-35.

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DATE CONSIDERED

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